## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Usac as many sheets as necessary)

Application Number	10/762678 72 7	
Filing Date	January 20, 2004	
First Named Inventor	Ouderkirk, Andrew J.	
Art Unit	2875 2879	
Examiner Name	Unknown J. Williams	
Attorney Case Number	59518US002	

1	TRAC	DEMA		U.S. Patent	Documents	
Exam Init.*		Cite No.	Document Number  Doc. Number-(Kind Code if Known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
aw	7	A1	US- 2001-001207	5/17/01	Shimizu et al.	
W.	7	A2	US- 2001-0013668	8/16/01	Neavin et al.	
AW	1	А3	US- 2002-0017651	2/14/02	Kato et al.	
W	/	A4	US- 2002-0043926	4/18/02	Takahashi et al.	
911	- 1	A5	US- 2002-0054406	5/09/02	Duerksen	
W	1	A6	US- 2002-0063520	5/30/02	Yu et al.	
ÂN	7	A7	US- 2002-0079837	6/27/02	Okazaki	
YNY		A8	US- 2002/0084748	7/4/02	Ayala et al.	
AW		A9	US- 2002/0084749	7/4/02	Ayala et al.	
AM	/ A	110	US- 2002-0085601	7/04/02	Wang et al.	
An		\11	US- 2002-0121645	9/05/02	Yasukawa et al.	
ol	A	\12	US- 2002-0140880	10/03/02	Weindorf et al.	
	Α	\13	US- 2002/0154406	10/24/02	Merrill et al.	
	A	\14	US- 2002/0180351	12/5/02	McNulty et al.	
	Α	\15	US- 2003-0002272	1/02/03	Suehiro et al.	
	Α	116	US- 2003-0038295	2/27/03	Koda	
	Α	\17	US- 2003/0217806	11/27/03	Tait et al.	
	Α	118	US- 2004-0116033	06/17/04	Ouderkirk et al.	
	Α	19	US- 2004-0144987	7/29/04	Ouderkirk et al.	
	Α	20	US- 2004-0145288	7/29/04	Ouderkirk et al.	
	A	21	US- 2004-0145289	7/29/04	Ouderkirk et al.	
	Α	\22	US- 2004-0145312	7/29/04	Ouderkirk et al.	
	A	123	US- 2004-0145895	7/29/04	Ouderkirk et al.	
	A	124	US- 2004-0145913	7/29/04	Ouderkirk et al.	
$\prod$	A	\25	US- 2004-0159900	8/19/04	Ouderkirk et al.	
	A	126	US- 2004-0149998	08/05/04	Henson et al.	
	A	127	US- 2004-0150991	8/05/04	Ouderkirk et al.	
AM		128	US- 5,540,978	7/30/96	Schrenk	

*Examiner: 1/2000 W Villins	Date Considered:	1/22	105
EXAMINER: Initial if reference considered, whether or not citation conformance and not considered. Include copy of this form with no	is in conformance with MPEP 609 ext communication to applicant.	. Drav	v line through citation if not in

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 2 of 4

Application Number	10/762678	
Filing Date	January 20, 2004	
First Named Inventor	Ouderkirk, Andrew J.	
Art Unit	2875 2879	
Examiner Name	-Unknown Williams	
Attorney Case Number	59518US002	

	U.S. Patent Documents						
Exam.	Cite	Document Number	Publication Date or Issue Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant		
Init.*	No.	Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document	Figures Appear		
AW	A29	US- 5,540,987	7/30/96	Mudge et al.			
XW.	A30	US- 5,568,316	10/22/96	Schrenk et al.			
YW	A31	US- 5,813,752	9/29/98	Singer et al.			
AW	A32	US- 5,813,753	9/29/98	Vriens et al.			
Pair	A33	US- 5,831,375	11/03/98	Benson, Jr.			
SW	A34	US- 5,882,774	3/16/99	Jonza et al.			
AW	A35	US- 6,010,751	1/4/00	Shaw et al.			
W	A36	US- 6,101,032	8/08/00	Wortman et al.			
XW	A37	US- 6,155,699	12/05/00	Miller et al.			
ZW	A38	US- 6,172,810	1/9/01	Fleming et al.			
TW.	A39	US- 6,186,649	2/13/01	Zou et al.			
MY.	A40	US- 6,340,824	1/22/02	Komoto et al.			
W	A41	US- 6,366,018	04/02/02	Garbuzov et al.			
/W/	A42	US- 6,368,699	4/9/02	Gilbert et al.			
711	A43	US- 6,373,188	4/16/02	Johnson et al.			
2W/	A44	US- 6,404,125	6/11/02	Garbuzov et al.			
MIN	A45	US- 6,486,997	11/26/02	Bruzzone et al.			
AW	A46	US- 6,501,091	12/31/02	Bawendi et al.			
DW	A47	US- 6,504,301	1/07/03	Lowery			
AW	A48	US- 6,506,480	1/14/03	Liu et al.			
ZW	A49	US- 6,515,785	02/04/03	Cobb, Jr. et al.			
AW	A50	US- 6,521,329	2/18/03	Aylward et al.			
AW	A51	US- 6,531,230	3/11/03	Weber et al.			
ZW	A52	US- 6,534,158	3/18/03	Huang et al.			
9W	A53	US- 6,547,400	4/15/03	Yokoyama			
W	A54	US- 6,578,421	6/17/03	Ishikawa et al.			
XW	A55	US- 6,601,962	8/05/03	Ehara et al.			
AW	A56	US- 6,635,903	10/21/03	Kato et al.			

*Examiner:	Macy Williams	Date Considered:	11/22/05	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 3 of 4

Application Number	10/762678		
Filing Date	January 20, 2004		
First Named Inventor	Ouderkirk, Andrew J.		
Art Unit	<del>2875</del> 2879		
Examiner Name	Unknown Williams		
Attorney Case Number	59518US002		

·	U.S. Patent Documents						
Exam.	Cite	Document Number	Publication Date or Issue Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant		
Init.*	No.	Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document	Figures Appear		
	A57	US- 6,744,077	6/01/04	Trottier et al.			

	Foreign Patent Documents						
Exam.	Cite	For	eign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Translation
Init.*	No.	Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)
W	B1	DE	199 51 656 A1	8/31/00		/	X
AW.	B2	EP	0733919 A2	9/25/96			
W.	В3	EP	0795941 A1	9/17/97			
70	84	EP	EP 1 119 058 A1	7/25/01			
YW	<b>B</b> 5	GB	GB 2 373 368 A	9/18/02			
2W	B6	wo	97/01778	1/16/97			
W	В7	wo	97/10278 English Abstract	3/20/97			
ZW	B8	wo	WO 97/48138	12/18/97			
9W	В9	wo	WO 99/36248	7/22/99		$\sqrt{}$	
gw/	B10	wo	WO 99/60303	11/25/99		X	
W	B11	wo	WO 01/39286	5/31/01			
AW	B12	wo	WO 01/75490	10/11/01			
ZW	B13	wo	WO 02/37568	5/10/02			
XV	B14	wo	WO 03/029722	4/10/03			
W	B15	wo	WO 04/021461	3/11/04			
gw	B16	JP	JP 11274572 English Abstract	10/08/99			
AW.	B17	JP	JP 2004031843 English Abstract	1/29/04			
	B18						

		OTHER DOCUMENTS	
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
AW	C1	US Application No. 60/407,426, Soules et al., "Coated LED With Improved Efficiency," filed August 30, 2002.	

*Examiner:	Magn William	Date Considered:	11/22/05
EXAMINER: Initial if re conformance and not	gerence considered, whether or not citation considered. Include copy of this form with n	is in conformance with MPEP 60 ext communication to applicant.	9. Draw line through citation if not in

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 4 of 4

Application Number	10/762678		
Filing Date	January 20, 2004		
First Named Inventor	Ouderkirk, Andrew J.		
Art Unit	<del>2075</del> 2979		
Examiner Name	Unknown Williams		
Attorney Case Number	59518US002		

	OTHER DOCUMENTS								
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-Issue number(s), publisher, city and/or country where published	Translation (Check if yes)						
RW	C2	US Application No. 10/726244; Simbal, John J.; "Reflective Light Coupler"; filed 12/2/2003.							
AW	СЗ	US Application No. 10/727220; Schultz, John C.; "Illumination Assembly"; filed 12/2/2003.							
BW	C4	US Application No. 10/726225; Henson, Gordon D.; "Solid State Light Device"; filed 12/2/2003.							
AW	C5	US Application No. 10/726248; Watson, James E.; "Multiple LED Source and Method for Assembling Same"; filed 12/2/2003.							
2w	C6	US Application No. 10/739792; Ouderkirk, Andrew J.; "Display Including a Solid State Light Device and Method Using Same"; filed 12/18/2003.							
8w	C7	US Application No. 10/726257; Aguirre, Francis M.; "LED Curing Apparatus and Method"; filed 12/2/2003.							
gw	C8	Weber et al., "Giant Birefringent Optics in Multilayer Polymer Mirrors", Science, Vol.287, March 31, 2000, pp. 2451-2456.							
AW	C9	Mueller, "White Light Emitting Diodes," Intertech '03, 22 pages.							
AW	C10	Haaren et al., "Recent Advances in Displays for Portable Products", ASET International Display Forum on Low Power Displays, 21 July 2000, Tokyo (Japan), pp. 1-6, XP-002289713.							

*Examiner:	by seph William	Date Considered:	11/22/05
------------	-----------------	------------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO (modified)					Application Number		10/7	10/762727		
INFORMATION DISCLOSURE						Filing Date		January 20, 2004		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					First Named Inventor Art Unit		Ouc	Ouderkirk, Andrew J.  2075 2819  Bennett, Zahra I.		
							<del>-287</del>			
AUS	(Use) many sheets as necessary)					Examiner Name				
	Page 1 of 1				Atto	orney Case Number		59518US002		
(an		9								
· A	DEMIN			U.S. Pa	tent [	Documents				
Exam.	Cite No.	Doc	ocument Number Publicat			Name of Patentee		Pages, Columns, Lines, Where Relevant Passages or Relevant		
Init.*		Doc. Numb	er-(Kind Code if Known)	MM-DD-YY		or Applicant of Cited Document		nt Figures Appear		
aw	A1	US- 6,65	2,996	11/25/200	3	Steklenski et al.				
<del>-V</del>				Foreign F	Paten	t Documents		····		
Exam. Init.*	Cite	e Foreign Patent Document Pub	Publicati	on Date	Name of Patentee or	Pages, Columns, Lines, Transla		Translation		
	No.	Ctry. Code	Number-KindCode (If kno	MM-DD	-1111	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear (Check if yes)			
<del></del>	-			OTHE	R DO	CUMENTS				
Exam.	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published							Translation	
11111		-			volunie-	rissue number(s), publisher, City Bi	iu/or country	where published	(Check if yes)	
$\mu V$	C1	inquiry D	ocuments, 54 page	es. ——						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.